

## United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.       | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.        | CONFIRMATION NO. |
|-----------------------|-----------------|----------------------|----------------------------|------------------|
| 10/643,534            | 08/19/2003      | Cyril Cabral JR.     | YOR920030194US1<br>(16695) | 4259             |
| 7:                    | 7590 10/20/2004 |                      | EXAMINER                   |                  |
| Steven Fischman       |                 |                      | GARCIA, JOANNIE A          |                  |
| Scully Scott, M       | urphy & Presser |                      |                            |                  |
| 400 Garden City Plaza |                 |                      | ART UNIT                   | PAPER NUMBER     |
| Garden City, NY 11530 |                 |                      | 2823                       |                  |
|                       |                 |                      | DATE MAILED: 10/20/2004    |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

|   | Application No.   | Applicant(s)   |  |  |  |  |
|---|---|--|--|--|--|--|
| 055 4.45 0  | 10/643,534  | CABRAL ET AL.  |  |  |  |  |
| Office Action Summary   | Examiner  | Art Unit   |  |  |  |  |
| •   | Joannie A García  | 2823   |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply   |   |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period w Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be timed within the statutory minimum of thirty (30) days will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE                        | nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133). |  |  |  |  |
| Status  |   |  |  |  |  |  |
| 1) Responsive to communication(s) filed on 03 Au  | ugust 2004.   |  |  |  |  |  |
| <u> </u>  |   |  |  |  |  |  |
|   | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |  |  |  |  |  |
| Disposition of Claims   |   |  |  |  |  |  |
| <ul> <li>4)  Claim(s) 1-30 is/are pending in the application.</li> <li>4a) Of the above claim(s) 23-30 is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) is/are rejected.</li> <li>7)  Claim(s) is/are objected to.</li> <li>8)  Claim(s) 1-22 are subject to restriction and/or election requirement.</li> </ul>  |   |  |  |  |  |  |
| Application Papers  |   |  |  |  |  |  |
| 9) The specification is objected to by the Examiner.  |   |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.   |   |  |  |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |  |  |  |  |  |
| Priority under 35 U.S.C. § 119  |   |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some color None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.   |   |  |  |  |  |  |
| Attachment(s)   |   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date  |   |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date  |   | atent Application (PTO-152)  |  |  |  |  |

Applicant's election without traverse of claims 1-22, Group I, in the reply filed on 08-03-04 is acknowledged.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

This application contains claims directed to the following patentably distinct species of the claimed invention: The first specie wherein the second exposing step is directed to second exposing to a silicon source, as recited in claim 1; and the second specie wherein the second exposing step is directed to an ion/radical flux between the exposing steps, as recited in claim 12.

Applicant is required under 35 U.S.C. 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable. Currently, no claim is generic.

Applicant is advised that a reply to this requirement must include an identification of the species that is elected consonant with this requirement, and a listing of all claims readable thereon, including any claims subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

Should applicant traverse on the ground that the species are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group Receptionist whose telephone number is (703) 308-0956 until 2/4/04. See MPEP 203.08.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Joannie Adelle García whose telephone number is (571) 272-1861. The examiner can normally be reached on Monday through Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Olik Chaudhuri, can be reached on (571) 272-1855. The fax number for this group is 703-872-9306 for before final submissions, 703-872-9306 for after final submissions and the

Art Unit: 2823

customer service number for group 2800 is (703) 872-9317. Updates can be found at http://www.uspto.gov/web/info/2800.htm.

JAG

October 15, 2004

George Fourson Primary Examiner George Fourson
Primary Examiner
Art Unit 2823